



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, 20231 on September 7, 2001.

Pavid H. Br<u>inkman</u>, Reg. No. 40,532

GP/2152 #2 1-3-02

RECEIVED

SEP 1 4 2001

Technology Center 2100

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

09/886,895

Filed:

June 21, 2001

Examiner:

Unknown

Group Art Unit:

2152

Applicants:

Carl N. Baron

Title:

METHOD AND APPARATUS FOR REGULATING NETWORK

ACCESS TO FUNCTIONS OF A CONTROLLER

Our Ref No.:

NOR-1006

Cincinnati, Ohio 45202

September 7, 2001

BOX IDS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in connection with the

above-identified patent application. Copies of the cited references are enclosed herewith.

No representation is made that the cited art is the only art or that the cited art represents the best art.

The Examiner is urged to consider all of the cited documents and to make an independent evaluation of the teachings and materiality of each.

If any additional fees are necessary to complete this communication, please apply them to Deposit Account 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

BY

David H. Brinkman Reg. No. 40,532

2700 Carew Tower 441 Vine Street Cincinnati, OH 45202 (513) 241-2324 - Office

(513) 421-7269 - Facsimile

• •												Ì	5		Sheet	1 (A) _ of _ 1	
SUBSTITUTE (MODIFIED)	MODIFED) PATENT AND TRADEMARK OF										U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				SERIAL NO. 09/886,895		
E SE												APPLICA Carl N. B				RECEIV	
(37 CFR (49)	SEP 1 0 2001 STATEMENT BY APPLICANT (Use several sheets if necessary) 7 CFR (198(b))											FILING D June 21,			GROUP SEP 1 4 200		
	VADEN								U.S	S. PA	ATENT DOCUMENTS			_		Technology Cent)7 2 1
EXAMINER INITIAL			PA ⁻	TEN	ΤN	UM	BEF	ł	ISSUE DATE		PATENTEE	CLASS	SUBCLASS		FILING DATE IF APPROPRIATE		
	A.A	5	1	5	1	8	9	6	09/1992	Bowman et al.			370	85.13		09/1990	
	A.B	5	2	5	1	3	0	2	10/1993	w	eigl et al.		395	250		12/1991	
	A.C	5	6	9	9	3	5	0	12/1997	Kra	aslavsky		370	254		10/1995	
	A.D	5	7	3	4	8	3	1	03/1998	Sa	nders		395	200.5	53	04/1996	
	A.E	5	8	0	5	4	4	2	09/1998	Cra	ater et al.		364	138		05/1996	
	A.F	6	0	6	1	6	0	3	05/2000	Pa	padopoulos et al.		700	83		10/1998	
	A.G																
	A.H																
	A.I																
	A.J																
	A.K																
						FOF	REIG	N P	ATENTS OR	PUBI	LISHED FOREIGN PAT	TENT APPL	ICATIONS				
	DOCUMENT NUMBER						Γ		PUBLICATIO DATE	N	N COUNTRY OR PATENT OFFICE		CLASS	SUBCLASS		TRANSLATION (YES/NO)	
	A.L																
	A.M																
	A.N																
	A.O																
	A.P																
	D.A																
					С	тн	ER I	000	CUMENTS (Inc	cludi	ng Author, Title, Date	, Place of	Publication)			
	A.R																
	A.S																
	A.T																
XAMINER											DATE CONS	IDERED					

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.